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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

Attorney Docket No. PU010260

First Inventor NIERZWICK

Title METHOD AND APPARATUS FOR PROVIDING PARENTAL CONTROL

Express Mail Label No. EL 834959589 US

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 20]
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. Oath or Declaration [Total Pages 2]
a. ☒ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☒ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☐ Other: _____

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: _____ / _____

Prior application information: Examiner _____


Group / Art Unit: _____

For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied
under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference.
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label or ☒ Correspondence address below
(Insert Customer No. or Attach bar code label here)

Name	JOSEPH S. TRIPOLI				
	THOMSON MULTIMEDIA LICENSING INC.				
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	P. O. BOX 5312				
City	PRINCETON	State	NJ	Zip Code	08543-5312
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Name (Print/Type)	ROBERT D. SHEDD	Registration No. (Attorney/Agent)	36,269
Signature		Date	12/3/01

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Patent fees are subject to annual revision.

Complete if Known

Application Number	N/A
Filing Date	HEREWITH
First Named Inventor	NIERZWICK
Examiner Name	N/A
Group / Art Unit	N/A
Attorney Docket No.	PU010260

METHOD OF PAYMENT (check one)					FEE CALCULATION (continued)									
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:					3. ADDITIONAL FEES									
Deposit Account Number	07-0832				Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid				
Deposit Account Name	THOMSON multimedia Licensing Inc.				105	130	205	65	Surcharge - late filing fee or oath					
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27					127	50	227	25	Surcharge - late provisional filing fee or cover sheet.					
2. <input type="checkbox"/> Payment Enclosed:					139	130	139	130	Non-English specification					
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other					147	2,520	147	2,520	For filing a request for reexamination					
FEE CALCULATION					112	920*	112	920*	Requesting publication of SIR prior to Examiner action					
1. BASIC FILING FEE					113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action					
Large Fee Code	Entity (\$)	Small Fee Code	Entity (\$)	Fee Description	115	110	215	55	Extension for reply within first month					
101	740	201	370	Utility filing fee	116	400	216	200	Extension for reply within second month					
106	330	206	165	Design filing fee	117	920	217	460	Extension for reply within third month					
107	510	207	255	Plant filing fee	118	1,440	218	720	Extension for reply within fourth month					
108	740	208	370	Reissue filing fee	128	1,960	228	980	Extension for reply within fifth month					
114	160	214	80	Provisional filing fee	119	320	219	160	Notice of Appeal					
SUBTOTAL (1)					120	320	220	160	Filing a brief in support of an appeal					
<div style="border: 1px solid black; width: 100px; float: right; text-align: center;">(\$ 740)</div>					121	280	221	140	Request for oral hearing					
2. EXTRA CLAIM FEES					138	1,510	138	1,510	Petition to institute a public use proceeding					
Total Claims	27	-20 **	=	7	X	18	=	126	140	110	240	55	Petition to revive – unavoidable	
Independent Claims	3	-3 **	=	0	X		=	0	141	1,280	241	640	Petition to revive – unintentional	
Multiple Dependent					X		=	0	142	1,280	242	640	Utility issue fee (or reissue)	
FEE CALCULATION					143	460	243	230	Design issue fee					
Large Fee Code	Entity (\$)	Small Fee Code	Entity (\$)	Fee Description	144	620	244	310	Plant issue fee					
103	18	203	9	Claims in excess of 20	122	130	122	130	Petitions to the Commissioner					
102	84	202	42	Independent claims in excess of 3	123	50	123	50	Processing fee under 37 CFR 1.17 (q)					
104	280	204	140	Multiple dependent claim, if not paid	126	180	126	180	Submission of Information Disclosure Stmt					
109	84	209	42	** Reissue independent claims over original patent	581	40	581	40	Recording each patent assignment per property (times number of properties)	40				
110	18	210	9	** Reissue claims in excess of 20 and over original patent	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))					
SUBTOTAL (2)					149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))					
<div style="border: 1px solid black; width: 100px; float: right; text-align: center;">(\$ 126)</div>					179	740	279	370	Request for Continued Examination (RCE)					
3. ADDITIONAL FEES					169	900	169	900	Request for expedited examination of a design application					
Other fee (specify) _____					SUBTOTAL (3)					<div style="border: 1px solid black; width: 100px; float: right; text-align: center;">(\$ 40)</div>				

****or number previously paid, if greater; For Reissues, see above**

SUBMITTED BY				Complete (if applicable)	
Name (Print/Type)	ROBERT D. SHEDD	Registration No. Attorney/Agent	36,269	Telephone	(609)734-9517
Signature				Date	12/3/01

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